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Unsealed pursuant to order of 9/13/00

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA

UNITED STATES OF AMERICA, ex rel.
ERVIN and ASSOCIATES, INC.,

Civil Action No. 96-1258

PLAINTIFFS,

vs.

Washington, D. C.
August 19, 1996
2:48 p.m.

THE HAMILTON SECURITIES GROUP,
INC.,

DEFENDANT.

FILED

JUL 10 2000

NANCY MAYER WHITTINGTON, CLERK
U.S. DISTRICT COURT

TRANSCRIPT OF SEALED HEARING
BEFORE THE HONORABLE CHARLES R. RICHEY
UNITED STATES DISTRICT JUDGE

APPEARANCES:

FOR THE PLAINTIFFS:

BARBARA VAN GELDER, ESQ.
JUDITH HETHERTON, ESQ.
WAYNE G. TRAVELL, ESQ.

FOR THE DEFENDANT:

(none)

OFFICIAL COURT REPORTER:

Laura M. Beasley
4800-H U.S. Courthouse
Washington, D. C. 20001
(202) 371-1843

Computer-Aided Transcription of Stenographic Notes

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SEALED PROCEEDINGS

1
2 THE CLERK: Civil Action 96-1258, United States of
3 America ex rel Ervin and Associates versus Hamilton Securities
4 Group, Inc.

5 Present for the plaintiff, Judith Hetherton,
6 Wayne Travill and Barbara Van Gelder.

7 MS. VAN GELDER: Good morning, Your Honor.

8 THE COURT: Good afternoon.

9 MS. VAN GELDER: Yes, thank you. I stand corrected.

10 THE COURT: I had lunch with two of your colleagues
11 today.

12 MS. VAN GELDER: Oh, really.

13 THE COURT: I apologize to you, but --

14 My goodness gracious, --

15 MS. VAN GELDER: Oh, please, I apologize.

16 MS. HETHERTON: Good to see you.

17 THE COURT: -- how lovely. Ms. Hetherton, it is so
18 nice to see you

19 All right, folks

20 MS. VAN GELDER: Your Honor, when last we left you we
21 requested --

22 THE COURT: Until today.

23 MS. VAN GELDER: -- until today. I come back, very
24 regrettably, asking for more time. I truly hoped that we would
25 have enough time to get what we needed to determine whether or

1 not there was merit in the allegations; whether or not if there
2 was merit, there was enough to go criminal with it; and
3 scheduling of witnesses in a very unusual summer --

4 THE COURT: They don't have any objection to an
5 additional period of time. How much do you need?

6 MR. TRAVILL: No, Your Honor.

7 MS. VAN GELDER: I need 90 days, Your Honor.

8 I knew you were going to say that. That's why I
9 brought Ms. Hetherton in.

10 THE COURT: Well, that isn't bad judgment on your part.
11 Since Ms. Hetherton is here, I will grant the request
12 without objection from the plaintiff.

13 MR. TRAVILL: No objection whatsoever, Your Honor.

14 THE COURT: All right. What is going to happen to the
15 case while it is still in your hands?

16 MR. TRAVILL: Your Honor, we have been cooperating with
17 the U. S. Attorney's Office to provide them with as much
18 information as possible to assist them, and we stand ready and
19 willing to continue that cooperation.

20 THE COURT: So you will do nothing until they make up
21 their minds?

22 MR. TRAVILL: Well, nothing other than what is
23 requested of us, Your Honor.

24 THE COURT: All right. The government will be given
25 until 4:00 p.m. on November 18th within which to make a

1 determination. No more extensions except for extraordinary good
2 cause.

3 MS. VAN GELDER: Your Honor, I believe we will be
4 prepared. We're going forthwith with this, and we understand
5 the mandate of the Court.

6 THE COURT: I will expect to hear from you by pleading
7 then, --

8 MS. VAN GELDER: Thank you.

9 THE COURT: -- and without objection.

10 MR. TRAVILL: Thank you, Your Honor.

11 MS. VAN GELDER: Thank you, Your Honor.

12 THE COURT: Thank you.

13 Let me come down and see my old friend.

14 THE COURT: Barbara, --

15 Do you mind if I talk to Ms. Van Gelder for a moment
16 about a totally unrelated matter --

17 MR. TRAVILL: Not at all, Your Honor, if I may be
18 excused.

19 THE COURT: - dealing with evidence?

20 MR. TRAVILL: Thank you, Your Honor.

21 THE COURT: All right. Thank you.

22 (The above matter was concluded.)

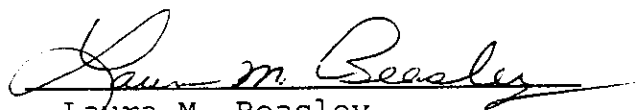
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CERTIFICATE OF REPORTER

This record is certified by the undersigned reporter to
be the official transcript of the above-entitled matter.


Laura M. Beasley
Official Court Reporter

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