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*FL-207683*

P.1/7  
**F A X  
COVER**

To: Pat Morgan

Date: October 20, 1997

From: David Ervin

Pages: 7

Phone#: 301/469-3422

*(includes this cover page)*

COMMENTS:

Enclosed are three new FOIA requests.

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NY-207683

October 20, 1997

Ms. Pat Morgan  
Director, Office of the Executive Secretariat  
U.S. Department of Housing and Urban Development  
451 Seventh Street, SW, Room 10139  
Washington, D.C. 20410-0500

Via Fax to (202) 619-8365

Re: Freedom of Information Act Request  
Hamilton Financial Advisor Contract Termination

Dear Ms. Morgan:


The lead story in today's Washington Times (copy attached) reports that HUD terminated Hamilton Securities financial advisor contract (DU-18505) on Friday. The Times also reported that HUD had requested that Hamilton reimburse HUD \$3.88 million for losses already determined due to erroneous computer instructions.

Under the provisions of the Freedom of Information Act, please provide us with the following:

- The two memorandums referenced in the Washington Times article that 'detailed Hamilton's erroneous instructions to Lucent/Bell Labs, a subcontractor to Hamilton, for an 'optimization model' to analyze note sale bids from Wall Street firms.'
- The actual termination notice sent to Hamilton Securities.
- Any documentation demanding that Hamilton re-imburse the \$3.88 million.
- Any documents to or from Kathy Rock or Nic Retsinas discussing problems with the optimization model or the instructions provided to Lucent/Bell Labs by Hamilton Securities regarding the optimization model.
- Any prior documentation to Hamilton referencing the Department's intent to cancel this contract or Task Order 1 (the "Crosscutter") under this contract.

Ervin and Associates agrees to pay up to \$50 for the processing of this request. If the anticipated fees are to exceed \$50, please call me at (301) 469-3422 so I may have an opportunity to reformulate the request.

Very truly yours,  
ERVIN and ASSOCIATES, INCORPORATED

  
David J. Ervin

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